UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	218198US-2
First Inventor or Application Identifier	Hitoshi TAU
Title	THERMOELECTRIC MODULE AND METHOD OF PRODUCING THE SAME

Assignee Name: **AISIN SEIKI KABUSHIKI KAISHA**

Assignee Address: **1, Asahi-machi 2-chome, Kariya-shi, Aichi-ken, Japan**

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ■ **Fee Transmittal Form (e.g. PTO/SB/17)**
(Submit an original and a duplicate for fee processing)

2. ■ Specification — Total Sheets **11**

3. ■ **Formal Drawing(s)** *(35 U.S.C. 113)* — Total Sheets **1**

4. ☐ Oath or Declaration — Total Pages [ ]
  a. ☐ Newly executed (original or copy)
  b. ☐ Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 17 completed)
    i. ☐ **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

6. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  a. ☐ Computer Readable Form (CRF)
  b. Specification or Sequence Listing on:
    i. ☐ CD-ROM or CD-R (2 copies); or
    ii. ☐ Paper
  c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))

8. ■ **Application Data Sheet. See 37 CFR 1.76**

9. ☐ 37 C.F.R. §3.73(b) Statement *(when there is an assignee)* ☐ Power of Attorney

10. ☐ English Translation Document *(if applicable)*

11. ■ **Information Disclosure Statement (IDS)/PTO-1449** ■ **Copies of IDS Citations (2)**

12. ☐ Preliminary Amendment

13. ■ **White Advance Serial No. Postcard**

14. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

15. ☐ Applicant claims small entity status. *See 37 CFR 1.27*

16. ■ **Other:** **List of Related Cases**
    Cited Pending Application (1)
    Statement of Relevancy

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17. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No.   Filed on

☐ Which was published in English
☐ Which was not published in English
☐ This application claims priority of provisional application Serial No.   Filed

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19. CORRESPONDENCE ADDRESS

22850
(703) 413-3000
FACSIMILE: (703) 413-2220

Name:	Gregory J. Maier	Registration	25,599
Signature:		Date:	
Name:	Robert T. Pous	Registration	29,099

GJM:RTP:fbl
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Docket No.   **218198US-2**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S)   Hitoshi TAUCHI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: THERMOELECTRIC MODULE AND METHOD OF PRODUCING THE SAME

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	4 - 20 =	0	× $18 =	$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× $84 =	$0.00
☐ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ $280 =	$0.00
■ LATE FILING OF DECLARATION			+ $130 =	$130.00
			BASIC FEE	$740.00
		TOTAL OF ABOVE CALCULATIONS		$870.00
☐ REDUCTION BY 50% FOR FILING BY SMALL ENTITY				$0.00
☐ FILING IN NON-ENGLISH LANGUAGE			+ $130 =	$0.00
☐ RECORDATION OF ASSIGNMENT			+ $40 =	$0.00
			TOTAL	$870.00

☐   Please charge Deposit Account No. <u>15-0030</u> in the amount of   A duplicate copy of this sheet is enclosed.

■   **A check in the amount of**   <u>**$870.00**</u>   **to cover the filing fee is enclosed.**

■   **The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.**

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: _____1/31/02_____

Gregory J. Maier
Registration No.   25,599

Robert T. Pous
Registration No.   29,099

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

GJM:RTP:fbl
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Docket No: 218198US-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hitoshi TAUCHI, et al.

SERIAL NO.: NEW APPLICATION

FILED: HEREWITH

TITLE: THERMOELECTRIC MODULE AND METHOD OF PRODUCING THE SAME

STATEMENT OF RELEVANCY

☐   The AL reference has been cited in a corresponding application filed in the Japanese Patent Office.

■   The references are discussed in the specification.

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